

## TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/031781

INTERNATIONAL APPLICATION NO.

PCT/JP01/04325

INTERNATIONAL FILING DATE

May 23, 2001

PRIORITY DATE CLAIMED

May 23, 2000

TITLE OF INVENTION

ORGANIC EL DISPLAY, AND METHOD OF MANUFACTURE OF ORGANIC EL DISPLAY

APPLICANT(S) FOR DO/EO/US

Yohei MAYUZUMI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

A copy of the Notification Concerning Submission or Transmittal of Priority Document (PCT/IB/304)

A copy of the Notification Concerning the Filing of Amendments of the Claims (PCT/IB/346)

Signed verification statement that the English language translations are accurate.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.01) <div style="font-size: 24pt; font-weight: bold; margin: 5px 0;">10/031781</div>		INTERNATIONAL APPLICATION NO. PCT/JP01/04325		ATTORNEY'S DOCKET NUMBER 07200/017001													
<div style="border: 1px solid black; padding: 5px;">24. The following fees are submitted:.</div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b> <div style="margin-top: 5px;"><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00</div><div style="text-align: right; margin-top: 5px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div></div>				<div style="border: 1px solid black; padding: 5px;"><b>CALCULATIONS PTO USE ONLY</b></div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492 (e)). <div style="text-align: right; margin-right: 20px;"><input type="checkbox"/> 20    <input type="checkbox"/> 30</div></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$890.00</div> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$0.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th></tr></thead><tbody><tr><td>Total claims</td><td>17 - 20 =</td><td>0</td><td>x \$18.00</td></tr><tr><td>Independent claims</td><td>10 - 3 =</td><td>7</td><td>x \$84.00</td></tr></tbody></table></div>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	17 - 20 =	0	x \$18.00	Independent claims	10 - 3 =	7	x \$84.00	<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Multiple Dependent Claims (check if applicable). <div style="text-align: right; margin-right: 20px;"><input type="checkbox"/></div></div>		<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$0.00</div>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE														
Total claims	17 - 20 =	0	x \$18.00														
Independent claims	10 - 3 =	7	x \$84.00														
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>TOTAL OF ABOVE CALCULATIONS =</b></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$1,478.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.</div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$0.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>SUBTOTAL =</b></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$1,478.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Processing fee of \$130.00 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492 (f)). <div style="text-align: right; margin-right: 20px;"><input type="checkbox"/> 20    <input type="checkbox"/> 30</div></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$0.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>TOTAL NATIONAL FEE =</b></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$1,478.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).</div>			<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><input checked="" type="checkbox"/></div>	<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$40.00</div>													
<div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>TOTAL FEES ENCLOSED =</b></div>				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$1,518.00</div>													
				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Amount to be:</div>	<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$</div>												
				<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">charged</div>	<div style="border: 1px solid black; padding: 5px; margin-top: 5px;">\$</div>												
<div style="margin-bottom: 10px;">a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,518.00</u> to cover the above fees is enclosed.</div> <div style="margin-bottom: 10px;">b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</div> <div style="margin-bottom: 10px;">c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0591</u> A duplicate copy of this sheet is enclosed.</div> <div style="margin-bottom: 10px;">d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</div>																	
<div style="margin-bottom: 10px;"><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</div> <div><b>SEND ALL CORRESPONDENCE TO:</b></div>																	
<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Customer Number: 22511</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">ROSENTHAL &amp; OSHA L.L.P.</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Suite 2800</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">1221 McKinney Street</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Houston, Texas 77010</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Telephone: (713) 228-8600</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Facsimile: (713) 228-8778</div>			<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"><div style="text-align: center; margin-bottom: 5px;"></div><div style="text-align: center;">SIGNATURE</div></div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Jonathan P. Osha</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">NAME</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">33,986</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">REGISTRATION NUMBER</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">January 23, 2002</div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">DATE</div>														